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10/019101

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(atty docket intdyn01.021)

**Applicant:** Hannel, et al.  
**Application No.:** 10/019,101, US Nat.  
stage of PET/US00/17078

**Paper No.:** 2  
**Group Art Unit:**

**Filed:** 12/20/2001

**Examiner:** Tamala D. Holland  
**Fax:** 703-308-4785

**Title:** *Query interface to policy server*

\*\*\*\*\*

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MAY 21 2002

Assistant Commissioner for Patents  
Washington, DC 20231

Technology Center 2100

**Response to Notification of Missing Requirements under 35 U.S.C. 371**

In a *Notification of Missing Requirements* mailed 3/27/02 in the above patent application, Examiner indicated that a Declaration must be furnished in the U.S. National Stage of the above application. Applicants' attorney examined his file for the application and determined that an executed US declaration and assignment had been filed with the PTC Request for the application on 6/21/2000. Applicants' attorney then called Examiner to discuss the matter and Examiner indicated that an appropriate response would be to send her copies of the relevant papers filed on 6/21/2000. Attached to this Response are copies of the following from Applicants' attorney's files:

- The *Notification of Missing Requirements*;
- A return postcard for the Request which indicates that the Request was filed 6/21/2000 and included the Declaration, Assignment Cover Sheet, and Assignment;
- Sheet 5 of the Request, indicating that the Declaration and Assignment were filed with the Request;
- The executed Declaration;
- The assignment cover sheet and executed assignment.

Since the copies leave no doubt that the Declaration was in fact filed 6/21/2000 with the Request, there is no basis for the *Notification of Missing Requirements* and Applicant's attorney respectfully declines to pay the surcharge under 37 C.F.R. 1.492(e). Should it nevertheless be necessary to pay the surcharge or any other fees in connection with this response, please charge the fees to my  
5 Deposit Account number 501315.

Respectfully submitted,

*Gordon E. Nelson*

Attorney of record,  
Gordon E. Nelson  
57 Central St., P.O. Box 782  
Rowley, MA, 01969,  
Registration number 30,093  
Voice: (978) 948-7632  
Fax: (866) 723-0359  
4/12/02  
Date

**Certificate of Transmission**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Fax No. 703-308-4786 on 4/12/02.  
(Date)

Gordon E. Nelson, #30,093

*Gordon E. Nelson*

(Signature)



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20237  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/019,101	Clifford Lee Hannel	intdyn01.021
INTERNATIONAL APPLICATION NO.		
PCT/US00/17078		
I.A. FILING DATE	PRIORITY DATE	
06/21/2000	06/22/1999	

Gordon E Nelson  
 Patent Attorney  
 57 Cetral St.  
 P.O.BOX 782  
 Rowley, MA 01969

CONFIRMATION NO. 8647  
 371 FORMALITIES LETTER



\*OC000000007705425\*

Date Mailed: 03/27/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination

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The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

TAMALA D HOLLAND

Telephone: (703) 305-5483

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/019,101	PCT/US00/17078	intdyn01.021

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To the Assistant Commissioner of Patents:

Dear Sir: Please stamp and return this postcard with the date of receipt of the following papers in the new PCT application, Hannel, et al., *Query interface to policy server*, intdyn01.014

- Transmittal letter to the USRO
- PCT request, 5pp; PCT fee calculation sheet, 1 p; check for \$3532.00
- PCT power of attorney, 1 p.
- US declaration, 3 pp.
- Coversheet, 2pp and assignment, 2pp.
- Patent application: 126 pp. Specification, 4pp. claims, 1 p. abstract, 60 drawing sheets

PCT/US 00/17078

526 Rec'd PCT/PTO 21 JUN 2000

Box No. VI PRIORITY CLAIM <input type="checkbox"/> Further priority claims are indicated in the Supplemental Box.				
Filing date of earlier application (day/month/year)	Number of earlier application	Where earlier application is:		
		national application: country	regional application: regional Office	international application: receiving Office
item (1) 22 JUNE 99	60/140,417			
item (2)				
item (3)				

☒ The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application was filed with the Office which for the purposes of the present international application is the receiving Office) identified above as item(s): 1

\* Where the earlier application is an ARIPO application, it is mandatory to indicate in the Supplemental Box at least one country party to the Paris Convention for the Protection of Industrial Property for which that earlier application was filed (Rule 4.10(b)(ii)). See Supplemental Box.

## Box No. VII INTERNATIONAL SEARCHING AUTHORITY

**Choice of International Searching Authority (ISA)**  
(if two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):

ISA / US

**Request to use results of earlier search; reference to that search** (if an earlier search has been carried out by or requested from the International Searching Authority):

Date (day/month/year)

Number

Country (or regional Office)

## Box No. VIII CHECK LIST; LANGUAGE OF FILING

This international application contains the following number of sheets:

request : 5  
description (excluding sequence listing part) : 126  
claims : 4  
abstract : 1  
drawings : 60  
sequence listing part of description : \_\_\_\_\_

Total number of sheets : 195

This international application is accompanied by the item(s) marked below:

1. ☒ fee calculation sheet
2. ☒ separate signed power of attorney
3. ☐ copy of general power of attorney; reference number, if any:
4. ☐ statement explaining lack of signature
5. ☐ priority document(s) identified in Box No. VI as item(s):
6. ☐ translation of international application into (language):
7. ☐ separate indications concerning deposited microorganism or other biological material
8. ☐ nucleotide and/or amino acid sequence listing in computer readable form
9. ☒ other (specify): Return postcard; US declaration; assignment

Figure of the drawings which should accompany the abstract: 38

Language of filing of the international application: English

## Box No. IX SIGNATURE OF APPLICANT OR AGENT

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).

*Gordon E. Nelson*

Gordon E. Nelson, atty of record. #30.093

For receiving Office use only		For International Bureau use only	
1. Date of actual receipt of the purported international application:		2. Drawings:	
3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:		<input type="checkbox"/> received:	
4. Date of timely receipt of the required corrections under PCT Article 11(2):		<input type="checkbox"/> not received:	
5. International Searching Authority (if two or more are competent): ISA /		6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid.	

Date of receipt of the record copy by the International Bureau:

**CONFIDENTIAL****To:** Ms Tamala Holland**Company:** USPTO**Date:** 4/12/2002 1:10:31 PM**Fax Number:** 1-703-308-4785**Subject:** Response to Notification of Missing Requirements in USSN 10/019,101**MESSAGE****Dear Ms Holland:**

**Per our telephone conversation of several days ago, please find a Response to Notification of Missing Requirements with copies of papers showing that the required Declaration was filed with the Request on 6/21/00.**

The contents of this fax message are confidential to the sender and/or the recipient. If you have received it by mistake, please inform the sender and destroy the message.

**From:** Gordon E. Nelsonson**Reply Fax Number:** (866) 723-0359**Company:** Gordon E. Nelson, Patent Attorney, PCPC**Phone Number:** 1-978-948-76322